

Projection and Ludics

Grégoire Winterstein

Laboratoire Structures Formelles du Langage, Université Paris 8
gregoire.winterstein@linguist.jussieu.fr

LENLS 8 – 1-2 December 2011

1 Projection

Projective Material

- (1)
- a. Paul knows that the Earth is round.
 - b. Does Paul know that the Earth is round?
 - c. Maybe Paul knows that the Earth is round.
 - d. Paul doesn't know that the Earth is round.
 - e. $\overset{\sim}{\rightsquigarrow}$ _{psp} The Earth is round.

- Each of the utterances (1-a)-(1-d) entail (1-e)
- The content (1-e) is said to be *projected*

Projection

A semantic content p is *projected* if it is conveyed by an utterance, even though it is embedded in a context that usually alters truth-conditions (e.g. negation, interrogation...)

Projective types of contents

- Projectivity has traditionally been considered to be the hallmark of presupposition.
- Other types of material also show a projective behaviour:

– Conventional implicatures (Potts, 2005):

- (2)
- a. John, that bastard, took my handbook.
 - b. John, that bastard, didn't take my handbook.
 - c. \rightsquigarrow John is a bastard.

– Conversational implicatures (Roberts & Tonhauser, 2011):

- (3) [*The car's tank is almost empty.*]
- a. There is a garage round the corner.
 - b. There might be a garage round the corner.
 - c. \rightsquigarrow A garage is a potential solution to the problem.

– ...

Traditional view

- Karttunen (1973): contexts can be divided between *holes* (that project presuppositions), *plugs* (that block projection) and *filters* (that affect/weaken the content)
- \Rightarrow One and the same context always behave in the same way, e.g.
 - Factive verbs are holes: always project
 - Verbs of 'saying' (e.g. *say*, *accuse*, *mention*...) are plugs: they do not let presupposition through
 - Antecedents of conditionals are filters (cf. infra)

Contents do not always project in the same way

Karttunen (1977)

- (4) a. If Mary realizes that John has not told the truth, she will be angry.
b. \rightsquigarrow John has not told the truth.
- (5) a. If I realize later that I have not told the truth, I will confess it to everyone.
b. $\not\rightarrow$ I have not told the truth.

- This is problematic for any theory that postulates a fixed behaviour for a given type of linguistic context.
- *Solution*: The context of utterance must be taken into account.

Projection and *at-issueness*

Simons et al. (2010)

A content p is projected iff. it is not *at issue* regarding the Question Under Discussion (QUD, cf. Roberts (1996)).

- p is at issue, if the speaker intends to address the QUD via p :
 - p must be relevant to the QUD (i.e. contributes to answering it).
 - The speaker can expect the addressee to recognize his intention in doing so.
- In (4) the QUD is similar to “Will Mary be angry?”, the truth of the complement of *realize* is not at issue: every possible world entails it.
- In (5) the QUD is similar to “Should I confess that I lied?”, the truth of the content is directly relevant to the QUD, thus it is at issue and does not project.

Projection and *attachment*

- Ducrot (1972): a key feature to identify a presupposition is that it cannot be used to establish a subsequent discourse relation.
- If A is an utterance that presupposes P , a discourse of the form AB cannot contain a discourse relation R , such that $R(P, B)$.
 - (6) a. Paul stopped smoking, so he worries about his health.
 - b. #Paul stopped smoking, so he did not worry about his health.
- Jayez (2010): discursive attachment to a content is possible iff. the attachment also bears on the main content ($R(A + P, B)$ is possible).
 - (7) Harry suddenly stopped smoking, so I guess that it was his wife who did not like it.
- Attachment is related to projection: to be able to establish a discourse relation means that the material must be accessible/projected.

Taking stock

Requirements for a discursive theory of presupposition:

1. Handle the projection of material out of non-veridical contexts.
2. Tie the projection of the material to the context of utterance.
3. Provide an explanation for the impossibility to attach to non-main content alone.

2 Ludics

- A theory of Logic based on the notion of *interaction* (Girard, 2001).
- Proofs emerge as the result of the interaction between two *designs*.
- Appears well-suited to model natural discourse (Lecomte & Quatrini, 2009, 2010, 2011).
 - A speaker's utterance is represented by a design.
 - The addressee (possibly virtual) has a counter-design.
- A well-formed discourse is one such that the discourse participants actions match, i.e. such that their designs *converge/are orthogonal*.
- The meaning of the discourse comes from the interaction between the two designs: it is given by the set of designs with which the interaction converges.

An example (Lecomte & Quatrini, 2010)

- (8) a. E : I was to be captain of the *Pharaoh*; I was to marry a nice girl.
- b. F : Did someone had an interest in you not becoming captain?
- c. E : Only one man: Danglars.
- d. F : Now, tell me about the girl you were supposed to marry.

$$\begin{array}{c}
\frac{0.1.1.1 \vdash}{\vdash 0.1.1} \text{ (8-c)} \quad \frac{0.2.1}{0.2 \vdash} \text{ (8-a)} \\
\hline
\vdash 0 \text{ (E)}
\end{array}
\qquad
\begin{array}{c}
\frac{0.2.1 \vdash 0.1.1.1}{\vdash 0.1.1.1, 0.2} \text{ (8-d)} \\
\frac{0.1.1 \vdash 0.2}{\vdash 0.1, 0.2} \text{ (8-b)} \\
\hline
0 \vdash \text{ (F)}
\end{array}$$

Figure 1: Ludics representation of the dialogue in (8-a)-(8-d)

Negative and Positive actions

- Ludics distinguish between positive and negative actions inside a player’s design:
 - *Positive* actions correspond to an active intervention on the part of the player.
 - *Negative* actions correspond to the anticipation of the speaker regarding some potential refutation, and to the recording of the addressee’s interventions. They are deterministic: the player is not involved in any choice.

3 Ludics and Projection

3.1 Presupposition in Ludics

- In the preceding dialogue, all the information conveyed by the speaker is made available for further attachment: cf. (8-a) which conveys an information about a captainship and a wedding.
 - As seen above this is undesirable for the case of presupposition (and projective material in general): not all conveyed contents should be placed on the same level of accessibility.
 - \Rightarrow Ludics handles the case of presupposition by means of *covert moves*.
- (9) a. A: Are you still smoking?
b. B: Yes.
- A only expects answers that entail that B smokes.
 - Presupposition are treated like covert questions answered by A:
 - The speaker asks and answers the question “*Did you smoke before?*”
 - The speaker asks the question “*Are you smoking now?*”, attached to the previously created locus.
 - The speaker expects either a *Yes* or a *No* answer and the addressee is committed to the presupposition if he wishes to remain convergent.

$$\frac{\frac{\vdash 0.0.0.0}{0.0.0 \vdash} \quad \frac{\vdash 0.0.0.1}{\vdash \langle \rangle} \text{ (-, 0.0.0, \{\{0\}, \{1\}\})}}{\vdash \langle \rangle} \text{ (+, \langle \rangle, \{0\}); (-, 0, \{\{0\}\}); (+, 0.0, \{0\})}$$

Figure 2: A’s treatment of presupposition in (9-a)

Consequences for presupposition projection

The previous analysis has various desirable consequences for the treatment of presupposition and projection in general:

1. Attachment is managed by way of loci:
 - The loci open for a reaction pertain to the last question.
 - The addressee necessarily has to react on this question which corresponds to the main content of the speaker.
 - All open loci integrate the presupposition in their structure, so the addressee can also elect to react on it, but it is not necessary.
2. A material p can be considered to be projected if it is part of all the open loci, i.e. each integrates the covert question in its structure.
3. If a given material is at issue, it will not be included in every open loci.

3.2 Different projection behaviours

The proviso problem

- (10)
- a. If John comes, he will bring his diving gear.
 - b. $\overset{psp}{\rightsquigarrow}$ John has diving gear
 - c. If John is a diver, he will bring his diving gear.
 - d. $\overset{psp}{\rightsquigarrow}$ If John is a diver, he has diving gear.

- Depending on the content of the antecedent, conditionals can either act as holes (10-a) or filters (10-c).
- I assume that conditionals are treated as such:
 - First a covert question about the truth of the antecedent is asked.
 - From each resulting location, loci are opened relative to the consequent.

- (11) If John comes, he will bring his diving gear.

$$\frac{\frac{\frac{\frac{\vdash 0.0.0.0.0}{\vdash 0.0} \quad \frac{\vdash 0.0.0.0.1}{\vdash 0.1}}{\vdash 0.0.0.0.0.1} \quad \frac{\frac{\vdash 0.1.0.0.0}{\vdash 0.1} \quad \frac{\vdash 0.1.0.0.1}{\vdash 0.1}}{\vdash 0.1.0.0.0.1}}{\vdash 0.1.0.0.0.0.1}}{\vdash \langle \rangle} \Phi$$

Where: $\Phi = (+, \langle \rangle, \{0\}); (-, 0, \{\{0\}, \{1\}\}); (+, 0.0, \{0\}); (+, 0.1, \{0\});$
 $(-, 0.0.0, \{\{0\}\}); (+, 0.0.0.0, \{\{0\}, \{1\}\});$
 $(-, 0.1.0, \{\{0\}\}); (+, 0.1.0.0, \{\{0\}, \{1\}\});$

An easier representation:

$$\frac{\frac{\frac{\frac{\vdash 0.0.0.0.0}{\vdash 0.0} \quad \frac{\vdash 0.0.0.0.1}{\vdash 0.1}}{\vdash 0.0.0.0.0.1} \quad \frac{\frac{\vdash 0.1.0.0.0}{\vdash 0.1} \quad \frac{\vdash 0.1.0.0.1}{\vdash 0.1}}{\vdash 0.1.0.0.0.1}}{\vdash 0.1.0.0.0.0.1}}{\vdash \langle \rangle}$$

- The first branching relates to the truth of the antecedent.
- On each branching the presupposition about John owning some gear is handled.

- Then a question about him bringing the gear is open, with potential answers.
- The presupposed content is verified in all open loci: it is projected.

(12) If John is a diver, he will bring his diving gear.

$$\frac{\frac{\frac{\vdash 0.0}{\vdash 0.0} \quad \frac{\frac{\vdash 0.1.0.0.0.0 \quad \vdash 0.1.0.0.0.1}{\vdash 0.1.0.0}}{\vdash 0.0}}{\vdash \langle \rangle}}$$

- Here, the presupposition is entailed by the truth of the antecedent.
- It is only considered in the corresponding branching.
- No further loci are open on the address 0.0: considering the context, there is no reason to assume John has gear if he's not a diver.
- The presupposition is handled locally, and is not present in all loci: it is not projected (or under a weakened form).

Different projection behaviours (III)

(13) a. If Mary realizes that John has not told the truth, she will be angry. = (4-a)
 b. If I realize later that I have not told the truth, I will confess it to everyone. = (5-a)

- The approach is essentially the same as for the previous examples.
 - The proposition “*I have not told the truth*” in (13-b) is only entailed in the case the antecedent is true (mainly because of the use of the first person).
 - This is not valid for (13-a), so both open branchings are equal regarding the truth of the presupposition, and there is no reason to assume it is only verified in only one of the alternatives.

Conclusion

- Ludics give a flexible and intuitive framework to represent various phenomena related to presupposition.
 - Attachment** is possible on open loci; reacting on one given loci makes it possible to integrate all the contained information in one's answer.
 - Projection** is equated to the presence of a given content in all open loci.
- A drawback is that the system might be too flexible, there is (yet) no principled way to promote some designs over others.

⇒ It remains to see how to manage the compositionality and triggering of presuppositions.

Bibliography

Oswald DUCROT (1972). *Dire et ne pas dire*. Hermann.

Jean-Yves GIRARD (2001). “Locus Solum”. In: *Mathematical Structures in Computer Science 11*, pp. 301–506.

Jacques JAYEZ (2010). “Notes on at-issueness”. PEPA Workshop, UBC Vancouver.

Lauri KARTTUNEN (1973). “Presuppositions of Compound Sentences”. In: *Linguistic Inquiry IV*, 2, pp. 169–193.

— (1977). “Some observations on factivity”. In: *Papers in Linguistics 5*, pp. 55–69.

Alain LECOMTE, Myriam QUATRINI (2009). “Ludics and its application to Natural Language Semantics”. In: *Proceedings of WOLLIC09*. Tokyo.

— (2010). “Pour une étude du langage via l’interaction: dialogues et sémantique en Ludique”. In: *Mathématiques et sciences humaines 189*, pp. 37–67.

— (2011). “Ludics and Rhetorics”. In: Alain LECOMTE, Samuel TRONÇON (eds.), *Ludics, Dialogue and Interaction*, Springer, vol. 6505 of *FOLLI/LNAI*, pp. 32–59.

Christopher POTTS (2005). *The Logic of Conventional Implicatures*. Oxford Studies in Theoretical Linguistics. Oxford: Oxford University Press.

Craige ROBERTS (1996). “Information Structure in Discourse: Towards an Integrated Formal Theory of Pragmatics”. In: *OSU Working Papers in Linguistics*, Jae Haek Yoon and Andreas Kathol, vol. 49: Papers in Semantics, pp. 91–136.

Craige ROBERTS, Judith TONHAUSER (2011). “Projective meaning: Formal approaches and cross-linguistic evidence”. ESSLI Course, Ljubljana, Slovenia.

Mandy SIMONS, Judith TONHAUSER, David BEAVER, Craige ROBERTS (2010). “What projects and why”. In: *Proceedings of Semantics and Linguistic Theory (SALT) 20*. CLC Publications, pp. 309–327.