

Relevance and Utility in an Argumentative Framework.

An Application to the Accommodation of Discourse Topics.

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General Outline of the Talk

- Investigate the link between notions of **relevance** in Argumentation Theory and **expected utility** in a game-theoretical framework of pragmatics
 - Can the first be reduced to the latter?
 - Example: Accommodation of Discourse Topics
- ⇒ Relevance belongs to grammar, and not to pragmatics
- ⇒ The notions need to be kept apart

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- 1 Utility and Relevance
- 2 The point of talking
 - Discourse topics
 - Argumentative goals
 - Linking the two perspectives
 - Summing up
- 3 Where relevance and utility need to be kept apart
 - Fully cooperative settings
 - Changing goals
 - Mixed motive games
- 4 Conclusion

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Relevance in Argumentation Theory

Cf. Ducrot (1980), Merin (1999).

2 Basic Hypotheses of Argumentation Theory

- Speakers always speak to a point
 - Argumentative properties are hardcoded in the grammar of natural languages
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- **relevance** of an utterance is defined w.r.t to an **argumentative goal**.
 - But what is an argumentative goal?
 - proposition?
 - disposition to act?
 - Why should we care about the nature of an argumentative goal?

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The theoretical stake

If argumentative goals are dispositions to act

- relevance is reducible to expected utilities of discourse participants
- relevance pertains to the **use of language** in the broad sense, and not to the grammatical system of the **langue**

If argumentative goals are propositions

- Items in the grammar might be sensitive to argumentative properties and manipulate relevance relations between propositions
- relevance can be based (at least partly) in the **langue**

We believe that ...

- argumentation and relevance have proper linguistic characterisations
- it would be difficult (impossible?) to implement a linguistic characterisation between propositions and dispositions to act.

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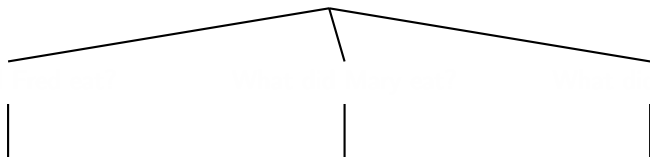
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Discourse topics

Discourse topics (according to Büring)

- Discourse is represented as tree (**D-Tree**)
 - nodes are moves representing declarative or interrogative sentences
- Questions in a D-Tree may be implicit and may be accommodated
- Contrastive Topic and Informational Focus indicate exact strategy used by a speaker (which allows to constrain the set of possible D-topics).

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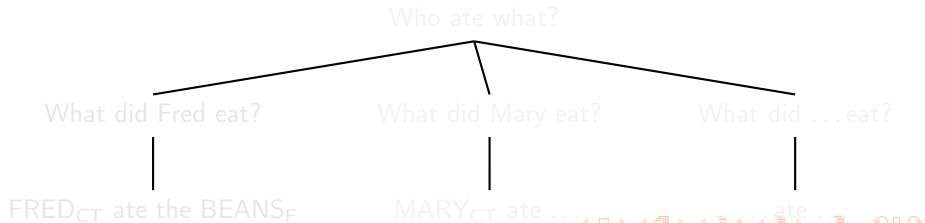


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Who ate what?

What did Fred eat?

What did Mary eat?

What did ... eat?

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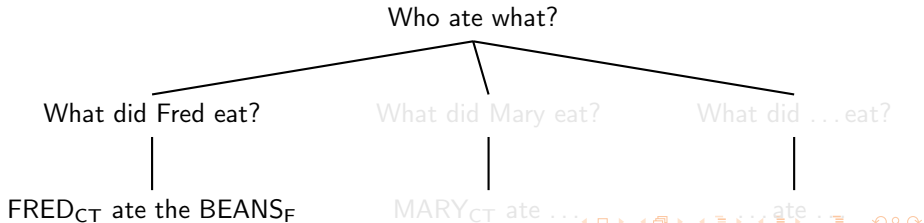
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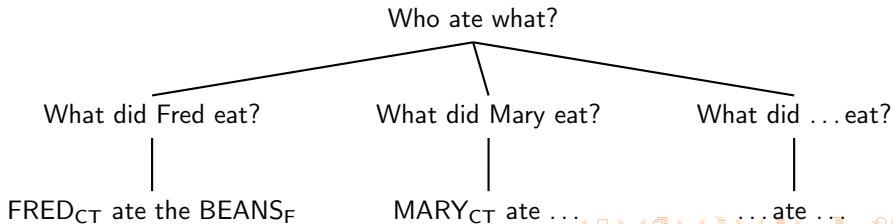


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Argumentative Goals (the technical side)

Probabilistic Discourse Semantics (according to Merin)

- A sentence E argues for (against) a conclusion H iff the probability of H after learning E is raised (lowered).
- The higher the change of the conditional probability of H upon learning E , the more relevant is E for H .

- Argumentative goals may be explicitly given (cf. (2)) or implicit.

(2) A: Daddy, I want candy! B: We don't have any.

- If H is implicit, figuring it out amounts to isolating a proposition out of the set of all propositions E argues for.

NB

- Merin considers only a special case of non-cooperative discourse situation
- He calls H the discourse topic. In order to keep this notion apart from the D-Topic, we call Merin's H the **R-Topic** (Relevance-Topic)

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Linking the two perspectives

- Both approaches concern “what a sentence is about”
- We propose to identify the R-topic with the question whose semantics are the set of argumentative goals
- We assume that when accommodating a question in a D-Tree, one has to take into account the argumentative properties of the utterance it is derived from.
- Generally a R-topic needs to be inferred on top of the D-Tree.

An example

- (3) This ring is beautiful, but it is expensive.
- Analysis by Anscombe & Ducrot: **but** connects two propositions that argue for opposite conclusions.
 - Probable R-topic: *Should we buy this ring?*
 - What would Buring's approach predict as D-Topic?
 - "Is this ring beautiful [E] and is it expensive [F]?"
 - But this does not account for the intuitive topic, nor for the presence of *but*.
 - Take the D-topic as input for the inference of the R-topic, and add the constraint that E and F argue for opposite direction.
 - H' , the R-topic belongs to the set of propositions satisfying the argumentative properties of (3), i.e.,
- (4) $H' \in \{H | \text{sign}(r_H(E)) \neq \text{sign}(r_H(F))\}$
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Summing up

- Argumentative properties of an utterance constrain the accommodation of discourse topics, just like *CT*-accents do.
- Further (grammatical) constraints like these make it easier to figure out what the speaker is up to.

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Fully cooperative settings

Bi-partisan relevance

- Merin's relevance has a built-in directionality. This is problematic in fully cooperative settings, and needs to be neutralized.
- (5) A: Did John kill Sue?
B: He was the last one to see her. [E]
B: He was in Tokyo at the time of the murder. [F]
- E argues weakly for John being the murderer of Sue (positively relevant); F argues strongly against it (negatively relevant).
 - F resolves the issue better than E. Therefore it's a better answer and should be chosen on its strength alone.

Changing goals

- (6) A: I know someone from Austria who will lend me 5€.
B (himself Austrian): Yes, Kurt will be happy to do so.

- Assume that H_0 of A is "B will lend me 5€". A's preferences = gain of money.
- B's preference for $H_0 = -5$.
- A compatible argumentative goal H_1 : "Kurt will lend A 5€".
- B prefers H_1 over H_0 , A is neutral.

NB

- H_0 is arguably the most relevant proposition
- Expected Utility of the hearer is much lower for H_0 than for H_1
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Mixed motive games (following van Rooij (2001))

- provide additional motivation to not to identify relevance with expected utility: it may occur that one doesn't act according to his beliefs
- Common ground (set of mutually accepted propositions) determines acts of discourse participants in game following exchange of information
- Rational speaker will utter E only if E is not defavorable to his expected utilities
- Addressee may reject E if it is defavorable to his expected utilities, **even if he believes E to be true.**
- Addressee cannot play following game according to updated common ground → would jeopardize his utilities
- Purely hypothetical example:

(7) [General to Prime Minister]
The Minister of the Interior does not have any bank account in Luxembourg.

Belief cannot be reduced to a disposition to act.

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Conclusion

- Relevance (in argumentative theories) \neq expected utility (in game theory)
- How can these ideas be included in a theory of **grounding**?
- What other cues are there for the inference of discourse topics (in a large sense)?

Thank you!